

28224

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hembree et al.
Serial No. : 09/026,080
Filed : February 19, 1998
Title : A SPRING ELEMENT FOR USE IN AN APPARATUS FOR ATTACHING
TO A SEMICONDUCTOR AND A METHOD OF ATTACHING
Docket : MIO 0020 IA/40509.42
Art Unit : 2822
Confirm. No. : 1355

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

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John B. Reed

Reg. No. 46,506

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicant(s) reserves the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO/SB/08A, a substitute for form 1449A/PTO. Copies of the items are enclosed for the convenience of the Examiner.

03/17/2004 AWONDAFI 00000036 09026080

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Serial No. 09/026,080
Docket No. MIO 0020 IA/40509.42

This Information Disclosure Statement is being filed after the period set forth in §1.97(b), but is believed to be filed before the mailing date of a final action under §1.113 or a notice of allowance, whichever occurs first.

This Information Disclosure Statement is accompanied by our check in the amount of \$180.00 in payment of the required fee set forth in 37 CFR §1.17(p).

Respectfully submitted,
DINSMORE & SHOHL LLP

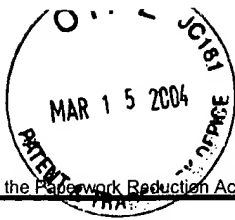
By


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JDR/kec
Encls.

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PTO/SB/17 (10-03)

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/026,080
Filing Date	February 19, 1998
First Named Inventor	David R. Hembree
Examiner Name	Roy Karl Potter
Art Unit	2822
Attorney Docket No.	MIO 0020 IA/40509.42

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number	
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The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		-20** =		X			
Multiple Dependent		-3** =		X			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

(Complete if applicable)

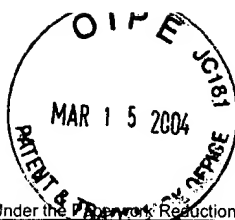
Name (Print/Type)	John D. Reed	Registration No. (Attorney/Agent)	46,506	Telephone	(937) 223-2050
Signature		Date	March 11, 2004		

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/026,080
Filing Date	February 19, 1998
First Named Inventor	David R. Hembree
Art Unit	2822
Examiner Name	Roy Karl Potter
Attorney Docket Number	MIO 0020 IA/40509.42

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,340,860	07/20/1982	Teeple, Jr.	
		US- 4,474,851	10/02/1984	Urry	
		US- 4,916,523	04/10/1990	Sokolovsky et al.	
		US- 4,998,885	03/12/1991	Beaman	
		US- 5,014,161	05/07/1991	Lee et al.	
		US- 5,140,405	08/18/1992	King et al.	
		US- 5,175,613	12/29/1992	Barker et al.	
		US- 5,192,479	03/09/1993	Harasz et al.	
		US- 5,395,260	03/07/1995	Hayakawa et al.	
		US- 5,402,077	03/28/1995	Agahdel et al.	
		US- 5,418,469	05/23/1995	Turner et al.	
		US- 5,497,103	03/05/1996	Ebert et al.	
		US- 5,500,556	03/19/1996	Kosugi	
		US- 5,519,332	05/21/1996	Wood et al.	
		US- 5,541,525	07/30/1996	Wood et al.	
		US- 5,572,140	11/05/1996	Lim et al.	
		US- 5,639,247	06/17/1997	Johnson et al.	
		US- 5,726,580	03/10/1998	Wood et al.	
		US- 5,742,169	04/21/1998	Akram et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

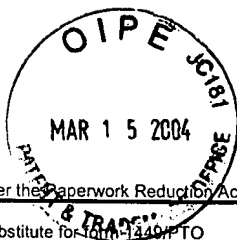
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/026,080
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		Number-Kind Code ² (if known)			
		US- 5,783,461	07/02/1998	Hembree	
		US- 5,810,607	09/22/1998	Shih et al.	
		US- 5,815,000	09/29/1998	Farnworth et al.	
		US- 5,926,029	07/20/1999	Ferrence et al.	
		US- 5,947,750	09/07/1999	Alcoe et al.	
		US- 6,028,437	02/22/2000	Potter	
		US- 6,062,873	05/19/2000	Kato	
		US- 6,064,217	05/16/2000	Smith	
		US- 6,069,028	05/30/2000	Stroupe	
		US- 6,091,251	07/07/2000	Wood et al.	
		US- 6,093,468	07/25/2000	Toms et al.	
		US- 6,106,305	08/22/2000	Kozel et al.	
		US- 6,333,638	12/25/2001	Fukasawa et al.	
		US- 6,388,458	05/14/2002	Hembree et al.	
		US- 6,411,116	06/25/2002	DeHaven et al.	
		US- 6,456,100	09/24/2002	Hembree et al.	
		US- 6,598,290	07/29/2003	Hembree et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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